

03/01/02

Please type a plus sign (+) inside this box → PTO/SB/05 (2/98)  
Approved for use through 09/30/2000. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

<i>03-04-02</i>		Attorney Docket No. 4287-010
First Inventor or Application Identifier		James R. Fore, Sr.
Title	DUAL HEAD WIRE WINDING MACHINE WITH SINGLE WIRE TRANSFER ARM	
Express Mail Label No.	EV 016784650US	

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 39]  
(preferred arrangement set forth below)
  - Descriptive title to the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 19]
4.  Oath or Declaration [Total Pages 2]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
*[Note Box 5 below]*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

Continuation     Divisional     Continuation-in-part (CIP)  
*Prior application information:* Examiner: \_\_\_\_\_ of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
 Group/Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label   
 Insert Customer No. or attach Bar code label here

or  Correspondence address below

NAME	COATS & BENNETT, P.L.L.C. PATENT TRADEMARK OFFICE				
ADDRESS	P.O. Box 5				
CITY	Raleigh	STATE	NC	ZIP CODE	27602
COUNTRY	USA	TELEPHONE	919-854-1844	FAX	919-854-2084

Name (Print/Type)	Larry L. Coats	Registration No. (Attorney)	25,620
Signature	<i>Larry L. Coats</i>	Date	3/1/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1

These are the fees effective December 29, 1999.

Small Entity payments must be supported by a small entity statement.  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.**TOTAL AMOUNT OF PAYMENT** **\$680.00**

Complete if Known

Application Number	TBA
Filing Date	
First Named Inventor	James R. Fore, Sr.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	4287-010

**METHOD OF PAYMENT** (check one)

1.  The Commission is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **18-1167**Deposit Account Name **Coats & Bennett, P.L.L.C.**

Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17       Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check     Money Order     Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	370	Utility filing fee	<b>370.00</b>
106	310	206	160	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>				<b>\$370.00</b>	

**2. EXTRA CLAIM FEES**

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
36	-20 =	16	X 9.0 =	<b>144.00</b>
6	-3 =	3	X 42.00 =	<b>126.00</b>
Multiple Dependent Claims <b>[ ] X [ ] = [ ]</b>				

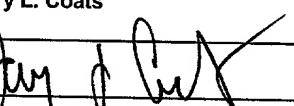
\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	42	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>				<b>\$ 270.00</b>

**3. ADDITIONAL FEES**

Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examination action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				<b>SUBTOTAL (3)</b>	<b>\$40.00</b>

**SUBMITTED BY**

Typed or Printed Name	Larry L. Coats			Complete (if applicable)	
Signature			Date	3/1/02	Reg. Number <b>25,620</b>
				Deposit Account User ID	18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	James R. Fore, Sr.
Title	Dual Head Wire Winding Machine with Single Wire Transfer Arm
Atty Docket Number	4287-010

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3/1/02

Date

Signature

Larry L. Coats

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: \_\_\_\_\_  
James R. Fore, Sr., et al. \_\_\_\_\_  
Serial No. \_\_\_\_\_  
Filed: \_\_\_\_\_  
For: **DUAL HEAD WIRE WINDING  
MACHINE WITH SINGLE WIRE  
TRANSFER ARM** \_\_\_\_\_  
Attorney's Docket No. 4287-010 \_\_\_\_\_

**Express Mail Certification  
Label No. EV 016784650 US**

Raleigh, North Carolina

March 1, 2002

Honorable Commissioner of Patents and Trademarks  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

**EXPRESS MAIL CERTIFICATE LABEL NO. EV 016784650 US**

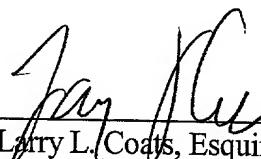
**DATE MAILED: March 1, 2002**

I hereby certify that the enclosed specification and claims, drawings (19 sheets), Declaration and Power Of Attorney, Utility Patent Application Transmittal Form, Nonpublication Request, Fee Transmittal Form (original and one copy), Assignment and Assignment Recordation Form, and our Check # 5930 in the amount of \$680.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Honorable Commissioner of Patents and Trademarks, BOX PATENT APPLICATION, Washington, D.C. 20231.

Respectfully submitted,

**COATS & BENNETT, P.L.L.C.**

By:

  
\_\_\_\_\_  
Larry L. Coats, Esquire  
Registration No. 25,620

Telephone: (919) 854-1844